INDIVIDUAL SELF-CERTIFICATION (FOR AUTOMATIC EXCHANGE OF INFORMATION)
個人自行認證表（用於資訊自動交流）

NOTE: Please complete the form using English characters. / 請用英文字母填寫表格。

Tax regulations require ANZ and its Controlled Entities ("ANZ") to collect a Self-Certification that includes your tax residence(s). ANZ may be required to provide your information to relevant tax authorities (including where you do not provide a valid Self- Certification).
稅法規定，ANZ及其受控實體（「ANZ」）應收取自行認證表，表中需說明稅務居民狀態。ANZ可能需向相關稅務部門提供資訊（包括未提供有效自行認證表的情況）。

Automatic Exchange of Information may include the Foreign Account Tax Compliance Act (FATCA), and the Common Reporting Standard (CRS).
「資訊自動交流」可能包括《外國帳戶稅務合規法》(簡稱FATCA)和《共同申報準則》(簡稱CRS)。

Before you begin:
開始前：

(a) **Complete this Self-Certification:** If you are an Individual Account Holder, Sole Trader or Sole Proprietor. For joint or multiple Account Holders each Individual Account Holder must complete a separate Self-Certification.

(a) **填寫自行認證表：**填寫人應為個人帳戶持有人、個體經營者或獨資經營者。若為聯合或多個帳戶持有人，每一位個人帳戶持有人均須單獨填寫一份自行認證表。

(b) **Refer** to the Australian specific terms/information at www.anz.com/aeoi
(b) **請對照**www.anz.com/aeoi上的澳洲具體條款/資訊。

(c) **Obtain** tax/legal/other professional advice (if required) before you complete this Self-Certification and sign Section 3
(c) **請事先徵詢**稅務/法律/其他專業意見(若有需要)，然後填寫自行認證表並簽署第3欄。

Once completed mail to:
填完後，請郵寄至：

ANZ
AEOI Documents
Reply Paid 89576
SOUTH MELBOURNE VIC 3205
澳洲

SECTION 1: ACCOUNT HOLDER DETAILS
第1欄：帳戶持有人資訊：

1.1 Full Name
1.1 姓名

First or Given Name(s)
名

Surname
姓

1.2 Residence Address (Do not provide a PO Box or in-care-of Address)
1.2 住址（請勿提供郵政信箱或代轉地址）

Address Line 1
住址行1

Province/State/County
省/州/國家

Address Line 2
住址行2

Postal Code/Zip Code
郵編

City/Town
市/鎮

Country
國家

1.3 Date of Birth
1.3 出生日期

(DD/MM/YYYY)
(日/月/年)

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SECTION 2: ACCOUNT HOLDER TAX RESIDENCE(S)
第2欄：帳戶持有人稅務居民狀態：

(Please note, US Citizens are considered to be Tax Residents of the US).
(請注意，美國公民視作美國稅務居民)。

2.1 I confirm:
2.1 我確認：

a) I am only Tax Resident in Australia **OR**
a) 我只在澳洲是稅務居民，**或**

b) I have included below all countries in which I am Tax Resident (other than Australia).
b) 下方已列出我為稅務居民的所有國家（澳洲除外）。

Country of Tax Residence (Do not include Australia) 稅務居民國 （澳洲除外）	Tax payer identification number (TIN) (or country equivalent) 納稅人識別碼(TIN) （或該國等同代碼）	Reason Code (if TIN not provided) （若未提供TIN） 原因代碼	Explanation (only if Reason code is "Z") 若原因代碼為Z，請說明

Reason codes:
原因代碼：
A – TIN Not Issued (The Country does not issue TINs)
A – 未簽發TIN（該國不簽發TIN）

C – TIN Applied For (I have applied for a TIN and will inform you upon receipt)
C – 已申請TIN（我已申請TIN，並會在收到後作出通知）

B – TIN Not Required (The Country does not require collection of a TIN)
B – 無需TIN（該國不要求收集TIN）

Z - TIN Unobtainable (I am unable to obtain a TIN) Please provide explanation.
Z - 無法取得TIN（我無法取得TIN）請說明。

SECTION 3: DECLARATIONS AND SIGNATURE
第3欄：聲明與簽字

I certify that:

1. I am the Account Holder and/or authorised to sign/disclose for the Account Holder.

2. I have consulted an independent advisor where necessary and acknowledge that ANZ has not provided any advice.

3. I will notify ANZ of changes to any information (including TIN changes) within 30 days of the change occurring and, where required, will provide ANZ with a new Self-Certification.

4. I have attached all relevant documents (eg. Power of Attorney).

5. I have provided true, correct and complete information.

6. I will provide ANZ with any additional information and/or documentation as requested.

7. I understand that provision of false, inaccurate or incomplete information may constitute an offence(s) and penalties may apply.

茲證明：

1. 我是帳戶持有人，並且（或）已有授權作為帳戶持有人代表簽字/披露資料。

2. 我已在必要時諮詢了獨立顧問，並在此申明ANZ未提供任何意見。

3. 我會在資料發生變化（包括TIN變動）後30日內通知ANZ，並會在需要時向ANZ提供新的自行認證表。

4. 我已附上所有相關文件（如授權委託書）。

5. 我已提供真實、準確、完整的資料。

6. 我會根據要求向ANZ提供補充資料和（或）文件。

7. 我已知悉，若提供不實、不準確或不完整的資料，可能構成違法行為，並可能招致處罰。

Signature
簽名

Name
清楚書寫姓名
(Please print first and last name)
（請字跡清晰地寫入姓名）

Date
日期
(DD/MM/YYYY)
（日/月/年）

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限本行填寫